



Account Application Form

BSP Capital Limited

BSP Capital Limited | Ground Floor,
Ravalien Haus, Harbour City, Konedobu,
Po Box 2017, Port Moresby, National Capital District

Telephone: +675 321 4333

Email: enquiries.bsppcapital@bsp.com.pg

Website: www.bsp-capital.com

Account Application Form

How do you apply?

1. Complete the Application Form in the back of this booklet and the appropriate settlement account form that accompanies this booklet
2. Provide two forms of identification for each applicant (and any authorised representative).
3. detach and return your completed application form and your identification and either forward via your nearest BSP branch, or send it directly to:

Ground Floor, Ravalién Haus
Harbour City
BSP Capital Limited
PO Box 2017
Port Moresby, NCD 121

We then establish your account, and you are now ready to start investing. If you have any queries, please call any of our Funds Management team on +675 309 8501.

Your privacy

BSP Capital will maintain a record of your personal profile which includes details of your personal information, investment portfolio, and if applicable, your investment objectives, financial situation and needs. We will also maintain records of any recommendations made and services provided to you.

If you elect to consult a BSP Capital Adviser for investment advice, you will be asked to provide BSP Capital with some further personal financial information. This information will enable BSP Capital to provide you with the best possible advice and make recommendations that are suitable to your investment needs and financial circumstances.

If you choose to withhold certain information, the advice or strategy you receive may not be entirely appropriate to your situation. Please ensure that you keep your BSP Capital Adviser up to date regarding any changes to this information that may be relevant to future advice.

By completing the Application Form attached, as well as any personal profile, you agree to BSP Capital collecting, holding and using any personal information about you to provide products and services to you and to carry out administration. Administration includes monitoring, auditing and evaluating data, dealing with complaints, answering queries and providing services in relation to this product.

This information may be used by other companies in the BSP Group, so that products and services which we consider may be of interest to you can be marketed to you, unless you tell us not to.

If you do not provide the requested information in the Application Form, we may not be able to process or accept your application. By completing this form you agree that we may provide access to this information to our agents, contractors or third parties who provide services in connection with our product.

You may request at any time access to your personal information held by BSP Capital by simply contacting BSP Capital on +675 309 8501.

What you need to know about the investment products available

It is important that you have an understanding of the risks associated with investing. Below is a general guide to the types of risks that you may be exposed to.

General risks for investors

Equity securities, as with all other asset classes (e.g. real property, government bonds) can decline in value as well as appreciate. The measure of this change in value is referred to as volatility (i.e. the more the value varies over time, the more volatile the asset, the more the risk involved in investing in it). On the other hand, in general, the less volatile an asset is, the less likelihood there is for any significant capital gain or loss from investing in that asset.

Equity securities are generally more volatile than other the other available investment products. However, the markets for other asset classes are not as efficient or as transparent as the stock market. While stocks can be volatile, there is sufficient available to investors. This information, and the process of continuously determining and making public the real market value of securities, reduces the impact of this volatility.

Overall market risks

Losses can be caused by movements in the markets generally. These movements can be caused by any number of factors including political, economic, taxation or legislative factors,

such as changes in interest rates, political changes, changes in taxation laws, international crises or natural disasters.

Domestic versus international factors

An investment may be vulnerable to international events or market factors. Such factors would include movements in exchange rates, changes in trade or tariff policies and changes in other stock or bond markets.

Currency specific factors

When you invest in offshore investment products (i.e. outside of PNG), you are open to risks associated with currency movements between the Kina (currency in which you have paid for your investment) and the currency of the country your stock market is invested in (e.g. ASX stock market investment is settled in Australian dollars). Apart from price volatility in the stock itself, you need to take into account exchange rate volatility also in your overall investment decision.

Sector specific factors

These would include demand for product the company produces, commodity prices, the economic cycle of industry, changes in consumer demands, lifestyle changes and changes in technology.

Stock specific factors

These would include the company's directors, the strength of the company's management and the significance of any key personnel, the company's profit history, the company's tangible asset base, debt level and fixed cost structure, litigation, profits or losses on particular contracts, drill results, competition from within the sector, and whether it is exploring for recoverable resources or is developing a new product.

Limitations of research

The research is undertaken by stockbrokers is basically the opinion of analysts. It can never be guaranteed, is only valid over for a limited time, and is often subject to market movements. For example, a recommendation for short-term investors to 'buy' could turn into a recommendation to 'sell' if the market price of an investment appreciated by a small amount. For a longer term investor, this market movement may not be significant and so the recommendation may not change, even though it might with a larger movement.

The mere fact that an investment is recommended by an analyst as a "buy" does not necessarily mean that the stock is a suitable investment for you.

Terms and conditions

Definitions

1. In these terms and conditions:

- a **'Account'** means a Securities trading account established by BSP Capital on behalf of the client
- b **'ASX'** means Australian Securities Exchange Limited (ABN: 98 008 624 691)
- c **'Authorised Person'** means any person authorized by the Client (either on the Account Application Form or otherwise in writing) to operate the Account on behalf of the Client
- d **'BPNG'** means Bank of Papua New Guinea
- e **'BCap'** means BSP Capital Limited or any of its related companies involved with your transaction
- f **'Business Day'** has the same meaning as the definition of Business Day in the PNGX Market Rules
- g **'Client'** means the person, body corporate, landowner group or any other recognized PNG legal entity for who BSP Capital establishes an Account
- h **'GST'** means goods and services tax
- j **'Holder'** means a person registered as the legal owner of Securities in a Holding
- k **'Holding Statement'** means statement of holdings of a parcel of shares registered and held in your name by the relevant share registry which administers the register of holdings and movements
- l **'Information'** means information relating to the trading operations of the stock markets conducted by ASX, PNGX or any other recognized stock exchange or the BPNG which BSP Capital from time to time has access, including contained on the BSP Capital website. It also includes information relating to currency rates, commodity prices, and market commentary
- m **'Issuer Sponsored Holding'** means a parcel of Securities registered and held in an uncertificated manner and for which the relevant share registry

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administers the register of holdings and stock movements

'Normal Trading Hours' means 10.00am to 4.00am

'PNGX' means PNG National Stock Exchange

'Rules' means the Rules, Regulations, customs, usages and practices of the Corporations Act, PNG Capital Markets Act, Superannuation Act, Prudential Standards issued by BPNG, Savings & Loans and Life Insurance Acts

'Securities' means Securities (including, without limitation "Securities" as defined by the Capital Markets Act 2015);

'Settlement Account' means BSP Capital Limited Cash Management Trust account or other account approved by BCap for settlement of transactions ;

- aa. **'SRN'** means the unique Shareholder Reference Number assigned to each parcel of Securities held as an Issuer Sponsored holding;
- ab. **'TIN'** means Tax Identification Number;
- ac. **'Trading Day'** has the same meaning as given to that term in the Capital Markets Act;
- ad. **'Withdrawal Instructions'** means written instructions from you to BCap for the withdrawal of Securities from your Holding(s) and includes instructions;
 - i. for the conversion of Securities in your Holding to any other mode of holding;
 - ii. to initiate a change of sponsorship for the Securities;
 - iii. to endorse or initiate an off market transfer of Securities; or
 - iv. to accept or takeover offer for the Securities on your behalf; and
- ae. **'You'** means the person or entity recorded as the Account holder of a BCap Investment account.

Investing Terms and Conditions

Rules and Regulations

2. These terms and conditions govern any transactions, financial or otherwise, executed on your Account by BCap, and are subject to the Rules.
3. You acknowledge and accept that all dealings on your Account will be subject to internal policies and procedures (which may be varied from time to time without notice to you).
4. You acknowledge that you, or any Authorised Person(s), are not able to rely on a lack of awareness and/or lack of understanding of the terms and conditions, or stock market rules and regulations, as a defence to any failure to satisfy your obligations under the terms and conditions.
5. You acknowledge that prior to agreeing to be bound by these terms and conditions you read, understood and accepted the terms and conditions set out herein.
6. You acknowledge that you are obliged to make enquiries of your full service adviser or BCap, in the event there is any aspect of these terms and conditions, or the stock market, that you do not understand.

Discretions

7. You acknowledge that BCap is not obliged to accept you as a Client, nor to provide you with any explanation for refusing your Account application, where a decision is made to do so.
8. You and any Authorised Person(s) may instruct BCap to deal in Securities or provide you with Securities advice. BCap may at any time, and at its sole discretion, decline your instructions or cancel any order or generally prohibit or restrict you from trading in Securities in or from your Account(s), without the need to provide any reason for its decision. In addition to that discretion, BCap may refuse to act on your behalf where;
 - a. the original instruction is more than one calendar month old;
 - b. trading in the relevant Securities has been suspended or halted for any reason whatsoever and you have not reconfirmed instructions; or c. a view is taken that the order is likely to;
 - i. contribute to a breach of the Capital Markets Act or PNGX/ASX Market Rules; or
 - ii. be inappropriate, unethical or likely to negatively impact on BCap's reputation and integrity within the market; or
 - iii. create a disorderly market in the Securities.
9. You agree that BSP Capital is not liable for any financial consequence or inconvenience as a result of not acting on your instructions for any reason (including, without limitation, not having sufficient Securities or funds in the Settlement Account to settle the transaction at the time the order is placed, or you have monies outstanding on any Account).
10. You agree that BCap is not liable for any financial consequence or inconvenience arising from circumstances where BCap uses its discretion to cancel a transaction or refuses to accept an instruction from you.

Your Commitment

11. You represent to BCap that you are, and at all times during your dealings with BCap, will be, in a position to meet all commitments (financial and otherwise) on your part arising out of dealings with BCap or business conducted by BCap on your behalf.
12. You represent that you, and any Authorised Person(s), are over the age of 18 years.

Joint Account holders

13. If more than one person constitutes the Client then you are each jointly and severally liable under these terms and conditions. Until otherwise specified in writing, all persons constituting the Client agree that BCap may

act on the instructions of any one of the persons without the necessity to refer to or notify any other person in connection with those instructions.

14. You acknowledge that where an Account is established in the name of a company;
 - a. any liabilities incurred on that Account will be deemed to be the responsibility of each of the directors and each director will be held personally liable for the purposes of this Agreement; and
 - b. BCap or any external credit agency may commence action against any or all of the directors to recover monies owed on that Account irrespective of whether the debts were incurred in that company name.
15. You acknowledge that this supplementary service is only available to those clients who fully and accurately disclose all relevant details of their investment objectives, financial situation and particular needs to the nominated full service adviser.
16. You acknowledge BCap will only provide you with full service on a fully informed basis and where full and accurate disclosure has been made by you.
17. You acknowledge that your full service adviser will only provide you with personal Securities advice or recommendations, where they have a reasonable basis for doing so, having regard to your investment objectives, financial situation and particular needs. However, you acknowledge BCap cannot, and does not, guarantee the performance or financial return on any investment made by you and you are unable to claim losses or costs incurred by you as a result of any advice or recommendation provided by BCap or your full service adviser, except where permitted by law.
18. In the event you refuse to provide your investment objectives, financial situation and particular needs to BCap, or you provide incorrect or false information, we reserve the right to refuse to accept any business on your behalf and we will not be held liable for any losses incurred as a result.

BCap

Non-Discretionary Account Policy

19. You acknowledge that BCap will not act on a discretionary basis on your behalf, or for your benefit, under any circumstances.

Written notification

20. You agree to notify BCap in writing within two (2) Business Days of any change on the personal details that you have previously provided in connection with your Securities dealing. BCap is not able to accept notification by email.

Settlement obligations

21. You must have a settlement Account to deal in Securities and you acknowledge that this Investment Account will be used to facilitate the settlement of all your Securities transactions executed through BCap and your full service adviser.
22. Before giving instructions to BCap you must ensure that you have sufficient available funds or available Securities to settle the transaction, including, in the case of purchases, brokerage.
23. BCap may decline to accept an order from you if there are insufficient funds in your Investment Account. However, if BCap does accept your order, it will not affect your liability for that order and you will be obliged to deliver the funds to settle the transaction.
24. You agree to pay for purchases and make good delivery in respect of sales to enable BCap to settle by the due settlement date on all dealings in Securities. You also agree to pay all applicable brokerage costs, taxes (including GST) and other charges in respect of those purchases and sales.
25. You acknowledge that BCap will not extend you credit for any reason whatsoever.
26. BCap does not accept payment in cash.

Failure to settle

27. BCap reserves the right to levy you with additional charges if you do not settle by the required settlement date or if you fail to supply your HIN or SRN. BCap may levy an administration charge commencing from the contract settlement date until the date Securities or fund are received by BCap.
28. Where you fail to make good delivery in respect of sales by the due settlement date, you agree to indemnify BCap for all costs incurred by it as a result of your failure to settle. This may include late fees charged by any intermediary, and any other charges deemed appropriate by BCap.
29. Where you fail to provide payment in full to BCap in respect of purchases by the due settlement date, you agree to indemnify BCap for all costs incurred by it as a result of our failure to settle. This may include interest charges on the outstanding monies calculated from the settlement date and any other charges deemed appropriate by BCap.
30. In the event that you fail to complete a contract or a portion of a contract, BCap may at its discretion, after making a demand on you, sell or purchase sufficient Securities the subject of the contract to satisfy your settlement obligations, at your risk and expense, including GST and brokerage.

Use and disclosure of personal information

31. You consent to BCap using your personal information for the following purposes:
 - a. Assessing and processing your Account Application;
 - b. Effecting the purchase and sale of Securities in your name and providing related facilities and services including any requirement to facilitate settlement;

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- c Converting, if required, Issuer Sponsored Holdings to a BCap Sponsored HIN;
 - d Maintain a register of holdings, or to correct information held by share registries or companies about you;
 - e Ensuring that the investment advice and or financial products BCap recommends to you, are appropriate for your investment objectives, financial situation and particular needs; and
 - f Communicating with you about your Account Application and any product or service BCap supplies to you.
32. You acknowledge that BCap, and other companies in the BSP Financial Group, may use your personal information to offer you products or services that may be of interest to you, unless you request us not to.
33. You consent to BCap disclosing your information to:
- a Other companies in the BSP Group, or any external service providers, who provide services in connection with our products and services, including suppliers of administrative services (for example, mailing houses);
 - b A credit reporting agency, if any payment owed to BCap is more than sixty (60) days overdue and which it has taken steps to collect, or to any collection agency;
 - c Companies or managed funds in which investments are made on your behalf and any organization those companies may appoint to manage their share registries (this may include providing any relevant personal details about you to these organizations);
 - d Your investment adviser;
 - e A party where BCap believes, acting in good faith, that the law requires or permits it to do so, including ASX, Australian Securities and Investments Commission, PNGX, Securities Commission of PNG, or any other governmental agency or regulatory authority in Australia or PNG, or where you consent to the disclosure; and
 - f Any party proposing to acquire an interest in BCap business.
34. You are not obliged to provide BCap with any of the personal information requested in the Account Application form, or in any other document or communication relating to the products or services BCap supplies to you. However, without this information, BCap may not be able to process your Application or provide you the appropriate level of services. You may request access to your personal information held by BCap by making such a request, or for any other reason relating to the privacy of your personal information, by writing to us at PO Box 2107, Port Moresby, NCD 121.
35. You acknowledge that BCap will not provide any information in writing or over the telephone to third parties unless you consent in writing to such disclosure.
36. You acknowledge that BCap may record telephone conversations between you and representatives of BCap. If there is a dispute between you and BCap, you have the right to listen to any recordings of these conversations, where available.

Principal Trading by BCap

37. You acknowledge that:
- a BCap and its related bodies corporate, may deal in Securities on the ASX or PNGX as principal;
 - b In certain circumstances permitted under the Capital Markets Act and PNG Companies Act, and ASX and PNGX Market Rules, and its related bodies corporate, may (either acting for another client or on its own account) enter into the opposite position in a Securities transaction with you, as principal; and
 - c You consent to BCap and its related bodies corporate entering into such a transaction with you.

Assigned right

38. Your rights under this agreement are incapable of being assigned (whether at law, in equity or otherwise) or made the subject of any encumbrance, trust or fiduciary obligation without the prior written consent of BCap. Consent may be withheld in the absolute and unfettered discretion of BCap. Any action which purports to do any of these things is void.

In the event of a dispute

39. Any dispute between you and BCap will be dealt in accordance with BCap's complaints handling policy.
40. You undertake to provide the details of any complaint to BCap and afford to BCap the opportunity to assess and respond to the complaint, prior to lodging a formal complaint via external parties

Notices

41. Every notice or other communication of any nature whatsoever required to be given, served or made under or arising from these terms and conditions:
- a Must be in writing in order to be valid;
 - b Must be executed by the party or on behalf of the party giving, serving or making the same by an attorney, authorized person, director or secretary of that party; and
 - c Will be deemed to be given, served or made:
 - i. In the case of a posted letter, on the seventh (fourteenth, if posted to or from a place outside Papua New Guinea) day after posting; and
 - ii. In the case of delivery by hand, on delivery provided that if any notice is given, served or made outside of normal business hours, it will be deemed to be given, served or made until the commencement of business on the

next Business Day.

Emailed Documents

42. When you provide instructions by way of email, you release BCap, and its related bodies corporate from, and indemnify them against, all losses and liabilities arising from any payment or action we make based on any instruction (even if not genuine) that they receive by email bearing your name and/or account number, a signature apparently yours or that of an authorized signatory on the account, or an Identification Document purporting to be you. You also agree that neither you nor anyone claiming through you has any claim against BCap or any of its related group of companies in relation to these payments or actions.

Trading procedures

43. You undertake to provide BCap with all information required by the Rules at the time of placing an order to deal in Securities through BCap.
44. You acknowledge that it is your responsibility to ensure that all details relating to your instructions to deal in Securities are accurate at the time of placing an order, including but not limited to, the provision of your SRN or HIN, the name of the Securities, the volume of the Securities and the value of the total order. BCap is not liable for any error or omissions in any order placed or instructions given by you or an Authorised Person, or for any failure by you, or an Authorised Person, to follow the direction for entering orders through BCap.
45. You acknowledge that any order or instructions provided to your adviser will be accepted and executed, provided they meet all trade requirements set by BCap and BPNG or PNGX from time to time. You acknowledge your orders or instructions may be rejected where BCap believes it would not be indemnified for any reason whatsoever, or it believes the order or instruction is contrary to the spirit or the intent of the Rules.
46. You acknowledge that, notwithstanding Clause 42, your orders or instructions may be subject to manual review and entry, or assessment and approved by management, at the sole and absolute discretion of BCap, which may delay their execution.
47. BCap is entitled to act on any instruction or order, which appears complete without further reference to you.
48. You acknowledge, BCap will use its best endeavours to place an order as soon as possible. In the event that a delay is experienced in placing the order, including pursuant to Clause 42, BCap accepts no liability for that delay.
49. You acknowledge that an order or instruction to trade in Securities is not deemed to be accepted until such time as it is submitted or placed. In the event that an order is rejected, for whatever reason, BCap will use its best endeavours to contact you.
50. You agree not to instruct BCap to sell Securities that you do not have a presently exercisable or unconditional right to vest those Securities in the buyer at the time of placing the sell instruction, and you acknowledge the practice of short selling Securities, as defined in the Rules, is prohibited by BCap.
51. You must not issue instructions to BCap that will breach, or are likely to cause BCap to breach, and Rules including:
- a. Market manipulation Rules, wash trading or matching of orders;
 - b. Insider trading or front running Rules;
 - c. The creation of a disorderly market or otherwise prejudicing the integrity or efficiency of the market;
 - d. Misleading or deceptive conduct concerning dealings in Securities.
52. Your application will be reviewed according to the AML/CTF policy requirements of BCap and the requirements outlined by the Financial Analysis and Supervision unit.

Section A: Individual/Joint Applicants

Applicant 1 -

Title Mr Mrs Ms Miss Dr Sr Jr Gender M F Date of Birth
 First Name Middle Name Surname

Residential Address

Sec Lot Street Suburb
 District Province Country

Postal Address

Post Office Town
 District Province Country

Contact Details

Telephone Mobile Email add

Marital Status	# of dependents	Employment Status	Annual income (Gross K)	Identification Requirements
Married <input type="checkbox"/>	0-3 <input type="checkbox"/>	Employed <input type="checkbox"/>	\$0-50k <input type="checkbox"/>	Employment <input type="checkbox"/> ID No: <input type="text"/>
Defacto <input type="checkbox"/>	4-6 <input type="checkbox"/>	Self-employed <input type="checkbox"/>	\$51k - 100k <input type="checkbox"/>	Passport <input type="checkbox"/> ID No: <input type="text"/>
Single <input type="checkbox"/>	6-9 <input type="checkbox"/>	Student <input type="checkbox"/>	\$101k-200k <input type="checkbox"/>	NID <input type="checkbox"/> ID No: <input type="text"/>
Other <input type="checkbox"/>	9+ <input type="checkbox"/>	Other <input type="checkbox"/>	\$200k+ <input type="checkbox"/>	Driver's Lic. <input type="checkbox"/> ID No: <input type="text"/>

Applicant 2 -

Title Mr Mrs Ms Miss Dr Sr Jr Gender M F Date of Birth
 First Name Middle Name Surname

Residential Address

Sec Lot Street Suburb
 District Province Country

Postal Address

Post Office Town
 District Province Country

Contact Details

Telephone Mobile Email add

Marital Status	# of dependents	Employment Status	Annual income (Gross K)	Identification Requirements
Married <input type="checkbox"/>	0-3 <input type="checkbox"/>	Employed <input type="checkbox"/>	\$0-50k <input type="checkbox"/>	Employment <input type="checkbox"/> ID No: <input type="text"/>
Defacto <input type="checkbox"/>	4-6 <input type="checkbox"/>	Self-employed <input type="checkbox"/>	\$51k - 100k <input type="checkbox"/>	Passport <input type="checkbox"/> ID No: <input type="text"/>
Single <input type="checkbox"/>	6-9 <input type="checkbox"/>	Student <input type="checkbox"/>	\$101k-200k <input type="checkbox"/>	NID <input type="checkbox"/> ID No: <input type="text"/>
Other <input type="checkbox"/>	9+ <input type="checkbox"/>	Other <input type="checkbox"/>	\$200k+ <input type="checkbox"/>	Driver's Lic. <input type="checkbox"/> ID No: <input type="text"/>

Applicant 3 -

Title Mr Mrs Ms Miss Dr Sr Jr Gender M F Date of Birth
 First Name Middle Name Surname

Residential Address

Sec Lot Street Suburb
 District Province Country

Postal Address

Box # Post Office Town
 District Province Country

Contact Details

Telephone Mobile Email add

Marital Status	# of dependents	Employment Status	Annual income (Gross K)	Identification #1	Identification #2
Married <input type="checkbox"/>	0-3 <input type="checkbox"/>	Employed <input type="checkbox"/>	\$0-50k <input type="checkbox"/>	Employment <input type="checkbox"/> ID No: <input type="text"/>	Employment <input type="checkbox"/> ID No: <input type="text"/>
Defacto <input type="checkbox"/>	4-6 <input type="checkbox"/>	Self-employed <input type="checkbox"/>	\$51k - 100k <input type="checkbox"/>	Passport <input type="checkbox"/> ID No: <input type="text"/>	Passport <input type="checkbox"/> ID No: <input type="text"/>
Single <input type="checkbox"/>	6-9 <input type="checkbox"/>	Student <input type="checkbox"/>	\$101k-200k <input type="checkbox"/>	NID <input type="checkbox"/> ID No: <input type="text"/>	NID <input type="checkbox"/> ID No: <input type="text"/>
Other <input type="checkbox"/>	9+ <input type="checkbox"/>	Other <input type="checkbox"/>	\$200k+ <input type="checkbox"/>	Driver's Lic. <input type="checkbox"/> ID No: <input type="text"/>	Driver's Lic. <input type="checkbox"/> ID No: <input type="text"/>

Section B: Non-Individual Applicants

Company/Business Name _____ Date of incorporation _____

Company/Business Number _____ TIN/TFN: _____

Nature of business: _____

Shareholders details	No. of shares	Director details
1 _____	_____	1 _____
2 _____	_____	2 _____
3 _____	_____	3 _____
4 _____	_____	4 _____
5 _____	_____	5 _____

Authorised officer 1:

Title Mr Mrs Ms Miss Dr Sr Jr Gender M F Date of Birth _____

First Name _____ Middle Name _____ Surname _____

Residential Address

Sec _____ Lot _____ Street _____ Suburb _____

District _____ Province _____ Country _____

Postal Address

Box # _____ Post Office _____ Town _____

District _____ Province _____ Country _____

Contact Details

Telephone _____ Mobile _____ Email add _____

Marital Status	# of dependents	Employment Status	Annual income (Gross K)	Identification Requirements
Married <input type="checkbox"/>	0-3 <input type="checkbox"/>	Employed <input type="checkbox"/>	\$0-50k <input type="checkbox"/>	Other <input type="checkbox"/> ID No: _____
Defacto <input type="checkbox"/>	4-6 <input type="checkbox"/>	Self-employed <input type="checkbox"/>	\$51k - 100k <input type="checkbox"/>	Passport <input type="checkbox"/> ID No: _____
Single <input type="checkbox"/>	6-9 <input type="checkbox"/>	Student <input type="checkbox"/>	\$101k-200k <input type="checkbox"/>	NID <input type="checkbox"/> ID No: _____
Other <input type="checkbox"/>	9+ <input type="checkbox"/>	Other <input type="checkbox"/>	\$200k+ <input type="checkbox"/>	Driver's Lic. <input type="checkbox"/> ID No: _____

Authorised officer 2:

Title Mr Mrs Ms Miss Dr Sr Jr Gender M F Date of Birth _____

First Name _____ Middle Name _____ Surname _____

Residential Address

Sec _____ Lot _____ Street _____ Suburb _____

District _____ Province _____ Country _____

Postal Address

Box # _____ Post Office _____ Town _____

District _____ Province _____ Country _____

Contact Details

Telephone _____ Mobile _____ Email add _____

Marital Status	# of dependents	Employment Status	Annual income (Gross K)	Identification Requirements
Married <input type="checkbox"/>	0-3 <input type="checkbox"/>	Employed <input type="checkbox"/>	\$0-50k <input type="checkbox"/>	Other <input type="checkbox"/> ID No: _____
Defacto <input type="checkbox"/>	4-6 <input type="checkbox"/>	Self-employed <input type="checkbox"/>	\$51k - 100k <input type="checkbox"/>	Passport <input type="checkbox"/> ID No: _____
Single <input type="checkbox"/>	6-9 <input type="checkbox"/>	Student <input type="checkbox"/>	\$101k-200k <input type="checkbox"/>	NID <input type="checkbox"/> ID No: _____
Other <input type="checkbox"/>	9+ <input type="checkbox"/>	Other <input type="checkbox"/>	\$200k+ <input type="checkbox"/>	Driver's Lic. <input type="checkbox"/> ID No: _____

Authorised officer 3:

Title Mr Mrs Ms Miss Dr Sr Jr Gender M F Date of Birth _____

First Name _____ Middle Name _____ Surname _____

Residential Address

Sec _____ Lot _____ Street _____ Suburb _____

District _____ Province _____ Country _____

Postal Address

Box # _____ Post Office _____ Town _____

District _____ Province _____ Country _____

Contact Details

Telephone _____ Mobile _____ Email add _____

Marital Status	# of dependents	Employment Status	Annual income (Gross K)	Identification Requirements
Married <input type="checkbox"/>	0-3 <input type="checkbox"/>	Employed <input type="checkbox"/>	\$0-50k <input type="checkbox"/>	Other <input type="checkbox"/> ID No: _____
Defacto <input type="checkbox"/>	4-6 <input type="checkbox"/>	Self-employed <input type="checkbox"/>	\$51k - 100k <input type="checkbox"/>	Passport <input type="checkbox"/> ID No: _____
Single <input type="checkbox"/>	6-9 <input type="checkbox"/>	Student <input type="checkbox"/>	\$101k-200k <input type="checkbox"/>	NID <input type="checkbox"/> ID No: _____
Other <input type="checkbox"/>	9+ <input type="checkbox"/>	Other <input type="checkbox"/>	\$200k+ <input type="checkbox"/>	Driver's Lic. <input type="checkbox"/> ID No: _____

Section C: Execution of Client Application Form

Declaration

By signing this application form, you agree, represent and warrant that you;

- ✓ Have read and understood the Terms condition set out in the Client Agreement.
- ✓ Have been provided with and read a copy of the BSP Capital Limited Client Account application form
- ✓ Acknowledge that all of the information you have provided is true and correct to the best of your knowledge.
- ✓ Acknowledge that if any personal circumstances change, you will contact your Adviser when if/this occurs.
- ✓ Acknowledge that information requested in this Agreement, is being collected for the primary purpose of opening an account and may also be used or disclosed for the secondary purposes of processing and delivery of you investment transactions and sending marketing material to keep you informed of your investments;
- ✓ Are over the age of 18 years and not of any legal disability

INDIVIDUAL/JOINT APPLICANTS TO COMPLETE

Number of signatures required to authorise a transaction ____

Signature of applicant 1 _____ Full Name _____ Date _____

Signature of applicant 2 _____ Full Name _____ Date _____

Signature of applicant 3 _____ Full Name _____ Date _____

NON-INDIVIDUAL APPLICANTS TO COMPLETE

Number of signatures required to authorize a transaction ____

Do NOT affix a common seal unless it is essential to sign the Agreement under seal. If essential, please ensure that it is executed in Accordance with the constitution of the corporation/association.

Signed for Applicant by an Authorised Officer (Director/Secretary as named in Section B)

Signature of applicant 1 _____ Full Name _____ Date _____

Signature of applicant 2 _____ Full Name _____ Date _____

Signature of applicant 3 _____ Full Name _____ Date _____

WITNESS

In the presence of

Signature of witness _____ Name of witness _____ Date _____

Please quote your BCAP Account Number as your reference number. Contact your Advisor if you require this information.

VERY IMPORTANT: INFORM OUR OFFICE OF ANY DEPOSITS IMMEDIATELY

CLIENT BANK ACCOUNT DETAILS FOR PAYMENT OF FUNDS

CLIENT BANK ACCOUNT DETAILS

Bank: _____
Account Name: _____
BSB Code: _____
Account No.: _____

BSP CAPITAL LIMITED BANK ACCOUNT DETAILS FOR TRANSFER OF FUNDS

Account name: BSP Capital Limited CMT Account
Account Number 1000098201
BSB: 088-950
Branch: BSP Haus, Harbour City, NCD

Identification Requirements

Applicant to provide the following identification

Check	Form of Identification
Primary Identification	
<input type="checkbox"/>	Valid Passport
<input type="checkbox"/>	Valid full or Provision Driver's License with photo
<input type="checkbox"/>	Birth Certificate
<input type="checkbox"/>	Marriage Certificate
<input type="checkbox"/>	PNG Superannuation Fund Membership Card
<input type="checkbox"/>	Citizenship Certificate
<input type="checkbox"/>	Bank Card (Kundu, etc)
<input type="checkbox"/>	National Identity Card
<input type="checkbox"/>	Tax Identification Number

Secondary Identification	
<input type="checkbox"/>	Current pay slip
<input type="checkbox"/>	Current utility bill (PNG Power, Eda Ranu) having customer name and address
<input type="checkbox"/>	ID card from employer or tertiary institution ID student card like UPNG
<input type="checkbox"/>	Letter of ID from referee with photo signed by customer certified by referee
<input type="checkbox"/>	Valid pilot license
<input type="checkbox"/>	Tax Assessment forms or withholding tax exemption certificate issued by IRC
<input type="checkbox"/>	Land Transport senior citizen card
<input type="checkbox"/>	Municipal business license or invoice
<input type="checkbox"/>	Valid fishing license from NFA
<input type="checkbox"/>	Current bank account statements with other banks
<input type="checkbox"/>	Insurance policy documents or medical insurance card issued
<input type="checkbox"/>	Mortgage statement from another bank
<input type="checkbox"/>	Land or property title or tenancy agreement
<input type="checkbox"/>	Valid motor vehicle licensed or third party policy documents
<input type="checkbox"/>	Employment ID like PNG Teachers Registration ID card

***ALL COPIES OF IDENTIFICATION DOCUMENTS MUST BE CERTIFIED APPROPRIATELY.**

A certified copy is a document that has been certified as a true and accurate copy of the original document by one of the following persons below;

- A commissioner of oaths
- A justice of the Peace
- Court Magistrates
- A certified Practicing professional such as an accountant, a lawyer, a medical doctor.

The authorising person should print their name, their professional affiliate number, e.g. CPA member number, affix official stamp if possible and phone contact details.